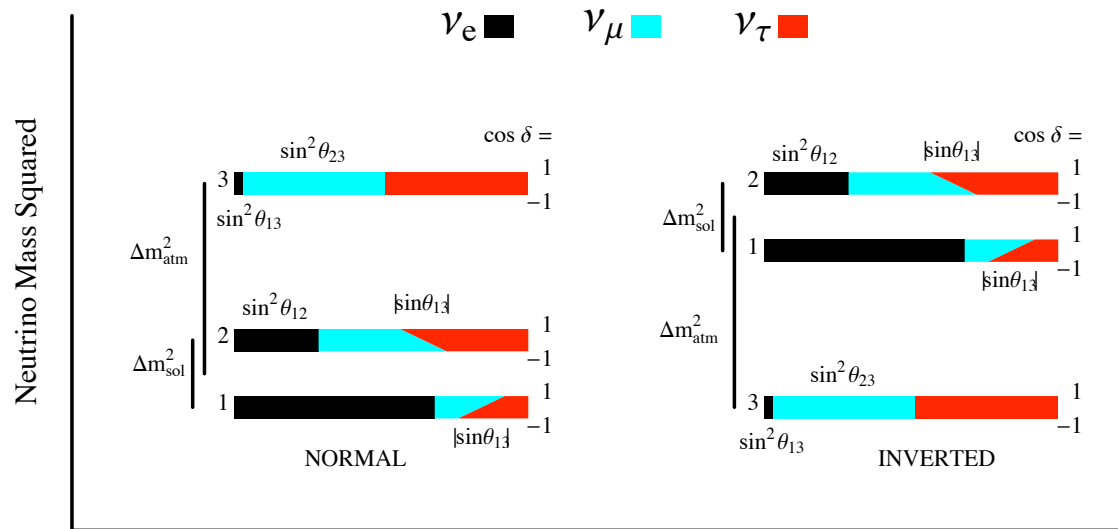


# $\theta_{13}$

Stephen Parke  
Fermilab:

- $\nu_e$  fraction of  $\nu_3$ :  $-\sin^2 \theta_{13}$
- mass hierarchy:  $-\text{sign of } \delta m_{31}^2$
- CP violation:  $-\sin \delta \neq 0$   
^  
observable

panic <sup>$\nu$</sup>  October 30, 2005



independent of  
 $\text{sign}(\sin \delta_{CP})$ ,  
 Majorana phases  $\alpha, \beta$

Mena + SP  
 hep-ph/0312131

Fractional Flavor Content varying  $\cos \delta$

$$D \equiv \frac{1}{2} - \sin^2 \theta_{23}$$

$$= (|U_{\tau 3}|^2 - |U_{\mu 3}|^2)/2(1 - |U_{e 3}|^2)$$

$$|\nu_e, \nu_\mu, \nu_\tau\rangle_{\text{flavor}}^T = U_{\alpha i} |\nu_1, \nu_2, \nu_3\rangle_{\text{mass}}^T$$

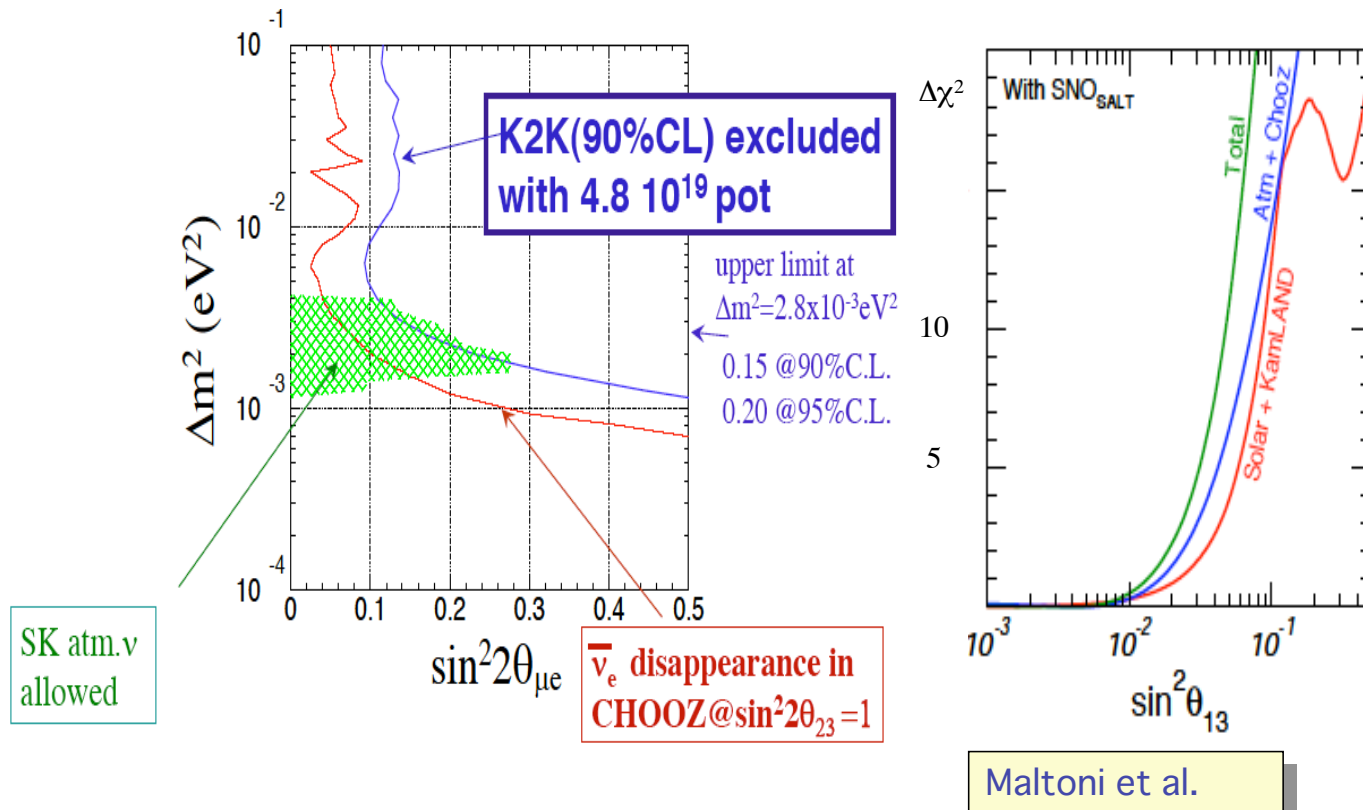
$$U_{\alpha i} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & s_{13}e^{-i\delta} & \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & & \\ & e^{i\alpha} & \\ & & e^{i\beta} \end{pmatrix}$$

Atmos. L/E  $\mu \rightarrow \tau$     Atmos. L/E  $\mu \leftrightarrow e$     Solar L/E  $e \rightarrow \mu, \tau$      $0\nu\beta\beta$  decay

500km/GeV

15km/MeV

No indication yet of nonzero  $\theta_{13}$  from atmospheric, solar and terrestrial  $\nu$

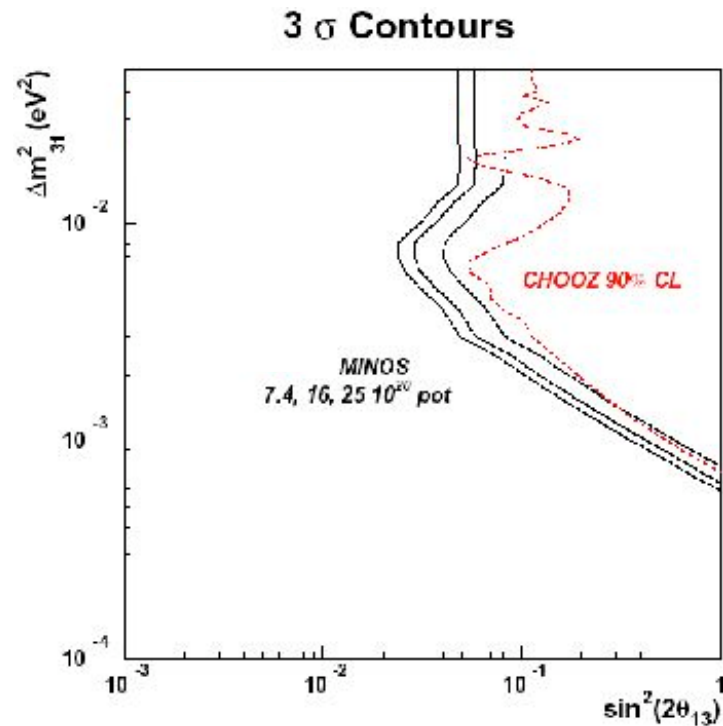


Chooz bound  $\sin^2 \theta_{13} < 0.04$

## Quest for $\nu_e$ fraction in $\nu_3$ : $\sin^2 \theta_{13}$

- Current LBL (MINOS)
- Atmospheric Neutrinos
- Low and High Energy Solar Neutrinos
- Supernova Neutrinos
- Short Baseline Reactor (Double Chooz, ...)
- Future Long Baseline (T2K, NuMI, BNL2?, ...)
- Neutrino Factories
- Beta Beams

# MINOS:



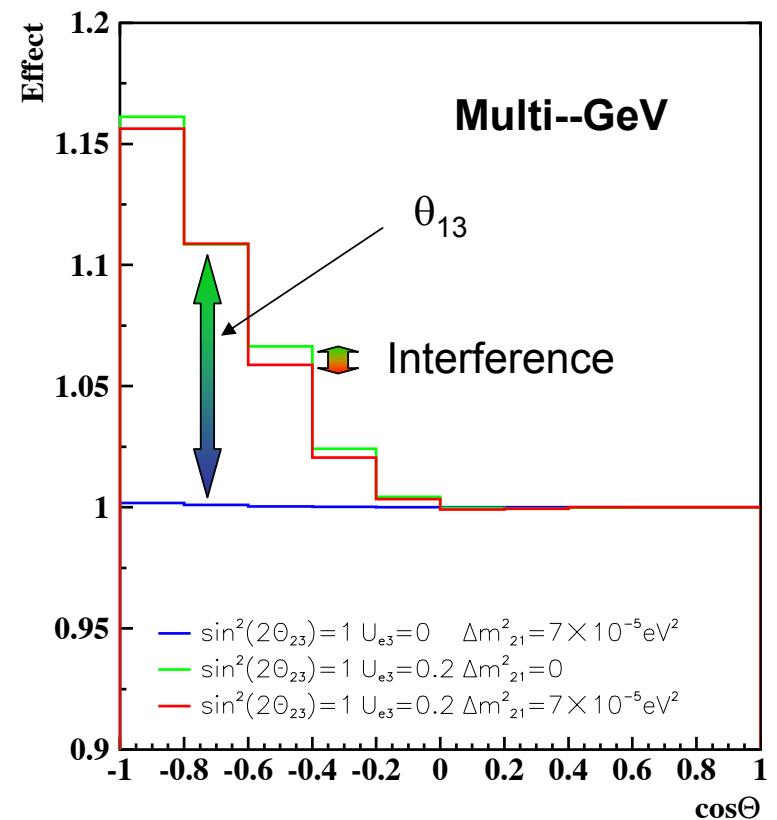
Has some sensitivity to  $\nu_e$  above backgrounds.

Primary goal is to measure  $|\delta m^2_{32}|$  to 10%

# ● Atmospheric Neutrinos

SK - Suzuki

- $|U_{e3}|=0.2$
- $\Delta m_{12}^2=7 \times 10^{-5} \text{eV}^2$
- **Interference**



# • Low and High Energy Solar Neutrinos

Goswami + Smirnov  
hep-ph/0411359

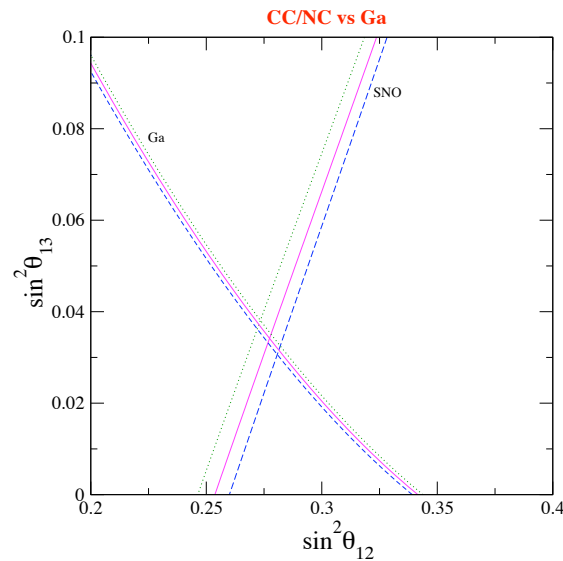


Figure 6: The iso-contours of  $CC/NC = 0.31$  at SNO and  $Q_{Ge} = 68.1$  SNU in Ga experiments in the  $\sin^2 \theta_{12} - \sin^2 \theta_{13}$  plane for different values of  $\Delta m_{21}^2$ :  $\Delta m_{21}^2 = 9 \cdot 10^{-5} \text{ eV}^2$  - the dotted lines;  $\Delta m_{21}^2 = 8 \cdot 10^{-5} \text{ eV}^2$  - the solid lines;  $\Delta m_{21}^2 = 7 \cdot 10^{-5} \text{ eV}^2$  - the dashed lines.

$$\frac{CC}{NC} \sim \cos^2 \theta_{13} \sin^2 \theta_{12} + \dots$$

$$\sin^2 \theta_{13} \uparrow \Rightarrow \sin^2 \theta_{12} \uparrow$$

$$Ga \sim \cos^4 \theta_{13} \cos^4 \theta_{12} + \dots$$

$$\sin^2 \theta_{13} \uparrow \Rightarrow \sin^2 \theta_{12} \downarrow$$

$$\sin^2 \theta_{\odot}^{8B} \approx \sin^2 \theta_{12} - 0.9 \sin^2 \theta_{13}$$

$$\sin^2 \theta_{\odot}^{8pp} \approx \sin^2 \theta_{12} + 1.5 \sin^2 \theta_{13}$$

$$\sin^2 \theta_{\odot}^{8pp} - \sin^2 \theta_{\odot}^{8B} \approx 2.4 \sin^2 \theta_{13}$$

$$\sin^2 \theta_{\odot}^{8pep} \approx \sin^2 \theta_{12} + (0.5???) \sin^2 \theta_{13}$$

Nunokawa, Zukanovich + SP  
hep-ph/0511nnn

## Short Baseline $\bar{\nu}_e$ Disappearance: aka Reactor:

$$1 - P_{\nu_e \rightarrow \nu_e} = \sin^2 2\theta_{13} \left[ \sin^2 \Delta_{atm} + \mathcal{O} \left( \frac{\Delta_{solar}}{\Delta_{atm}} \right) \right] + \mathcal{O} \left( \frac{\Delta_{solar}}{\Delta_{atm}} \right)^2$$

>1%

|

<3%

<0.1%

kinematical  
phase

$$\Delta_{atm} = \frac{\delta m_{atm}^2 L}{4E} = 1.27 \frac{\delta m_{atm}^2 L}{E}$$

The  $\mathcal{O} \left( \frac{\Delta_{solar}}{\Delta_{atm}} \right)$  VANISHES if

$$\begin{aligned} \delta m_{atm}^2 &= \cos^2 \theta_{12} \delta m_{31}^2 + \sin^2 \theta_{12} \delta m_{32}^2 \\ &= m_3^2 - \underbrace{(\cos^2 \theta_{12} m_1^2 + \sin^2 \theta_{12} m_2^2)}_{\text{Average } \nu_e \text{ mass in 1 and 2.}} \Rightarrow \delta m_{31}^2 \quad \text{when } \theta_{12} \Rightarrow 0 \end{aligned}$$

Average  $\nu_e$  mass in 1 and 2.

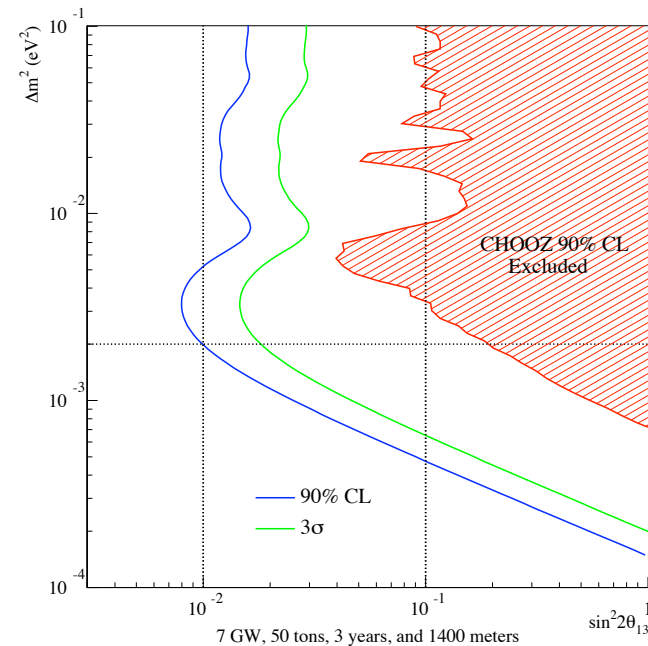
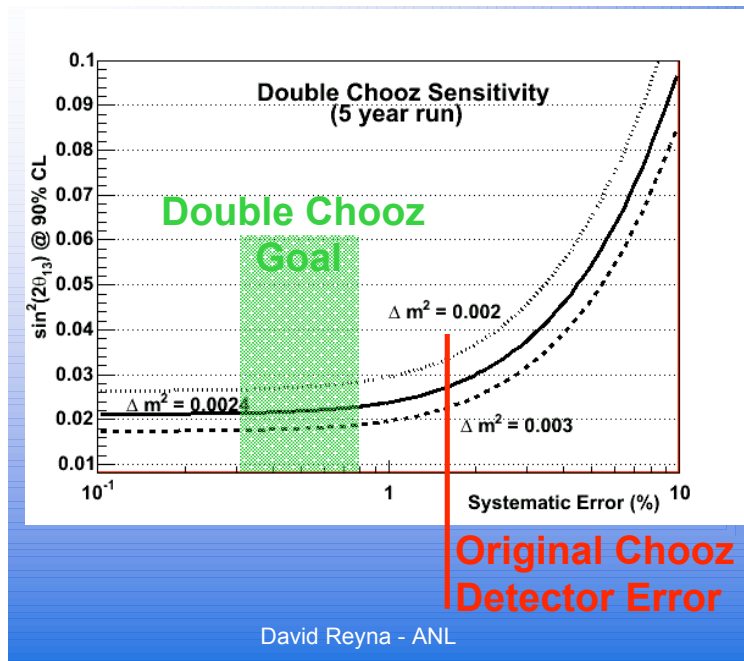
This is the  $|\delta m_{atm}^2|_e$  that could be measured in such an experiment.

Nunokawa, Zukanovich + SP  
hep-ph/0503283

- Normal Hierarchy:  $|\delta m_{atm}^2|_e > |\delta m_{atm}^2|_\mu$
- Inverted Hierarchy:  $|\delta m_{atm}^2|_e < |\delta m_{atm}^2|_\mu$
- Unfortunately difference is small 1 - 2 % !!!

where  $|\delta m_{atm}^2|_\mu$  from  
 $\nu_\mu$  disappearance.

$$|\delta m_{atm}^2|_\mu \Rightarrow \delta m_{32}^2 \quad \text{when } \theta_{12} \Rightarrow 0$$



J. Link, Columbia

- Pure measurement of  $\sin^2 \theta_{13}$   
— no contamination from  
 $\theta_{23} \leftrightarrow \frac{\pi}{2} - \theta_{23}$  degeneracy.

With Off-axis measurements of  $\nu_\mu \rightarrow \nu_e$ :

- of  $\sin^2 \theta_{23} \sin^2 \theta_{13}$  can help resolve  
 $\theta_{23} \leftrightarrow \frac{\pi}{2} - \theta_{23}$  degeneracy for  $\sin^2 2\theta_{23} \neq 1$ .
- Help resolve hierarchy and  $\sin \delta \neq 0$ , maybe.

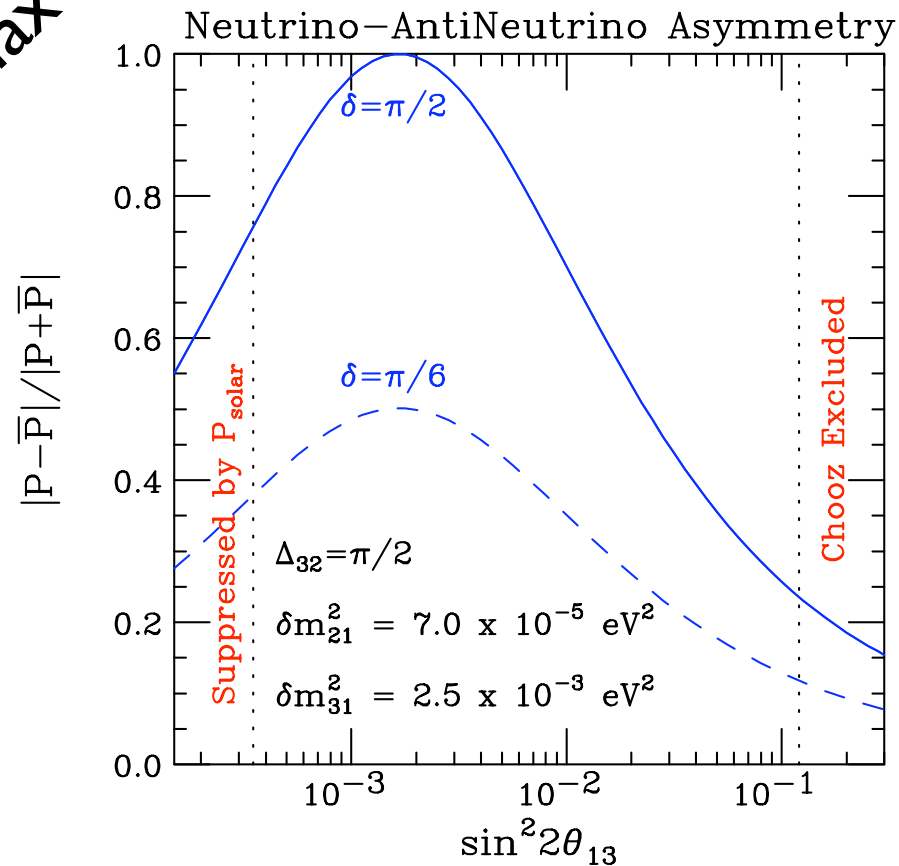
# VAC LBL: $\nu_\mu \rightarrow \nu_e$

CP violation !!!

$$P_{\mu \rightarrow e} \approx \left| \sqrt{P_{atm}} e^{-i(\Delta_{32} \pm \delta)} + \sqrt{P_{sol}} \right|^2$$

where  $\sqrt{P_{atm}} = \sin \theta_{23} \sin 2\theta_{13} \sin \Delta_{31}$  and  $\sqrt{P_{sol}} = \cos \theta_{23} \sin 2\theta_{12} \sin \Delta_{21}$

1st Vac Osc Max



$\nu_\mu \rightarrow \nu_e$  with MATTER

CP violation !!!



$$P_{\mu \rightarrow e} \approx \left| \sqrt{P_{atm}} e^{-i(\Delta_{32} \pm \delta)} + \sqrt{P_{sol}} \right|^2$$

where  $\sqrt{P_{atm}} = \sin \theta_{23} \sin 2\theta_{13} \frac{\sin(\Delta_{31} \mp aL)}{(\Delta_{31} \mp aL)} \Delta_{31}$

$$a = G_F N_e / \sqrt{2} = (4000 \text{ km})^{-1},$$

$$\pm = \text{sign}(\delta m_{31}^2)$$

and  $\sqrt{P_{sol}} = \cos \theta_{23} \sin 2\theta_{12} \frac{\sin(aL)}{(aL)} \Delta_{21}$

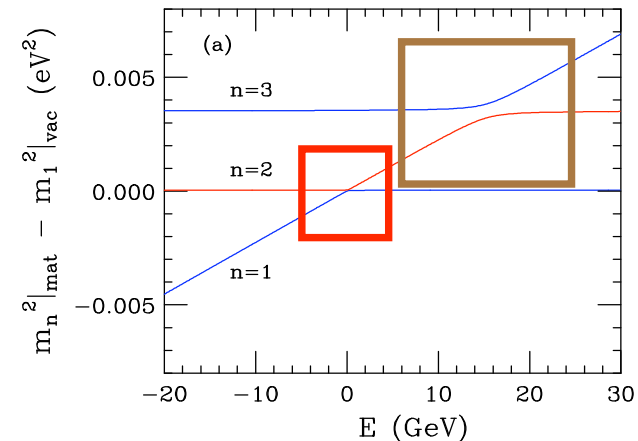
$$\Delta_{ij} = |\delta m_{ij}^2| L / 4E$$

$\{\delta m^2 \sin 2\theta\}$  is invariant

$$\sin \Delta_{31} \Rightarrow \left( \frac{\Delta_{31}}{\Delta_{31} \mp aL} \right) \sin(\Delta_{31} \mp aL)$$

$$\sin \Delta_{21} \Rightarrow \left( \frac{\Delta_{21}}{\Delta_{21} \mp aL} \right) \sin(\Delta_{21} \mp aL)$$

$$\sin \Delta_{32} \Rightarrow \sin \Delta_{32}$$



Matter effects are **IMPORTANT** when  $\sin(\Delta \mp aL) \neq (\Delta \mp aL)$ .

## Numerous Approaches to Studying $\nu_\mu \leftrightarrow \nu_e$ Transitions:

- Off Axis - Narrow Band Beams  $\nu_\mu \rightarrow \nu_e$  (T2K and NOvA)
- On Axis - Broadband Beam  $\nu_\mu \rightarrow \nu_e$  (BNL 2 HSK)
- Neutrino Factory  $\nu_e \rightarrow \nu_\mu$
- Beta Beams  $\nu_e \rightarrow \nu_\mu$

## Off-Axis Neutrino Beams:

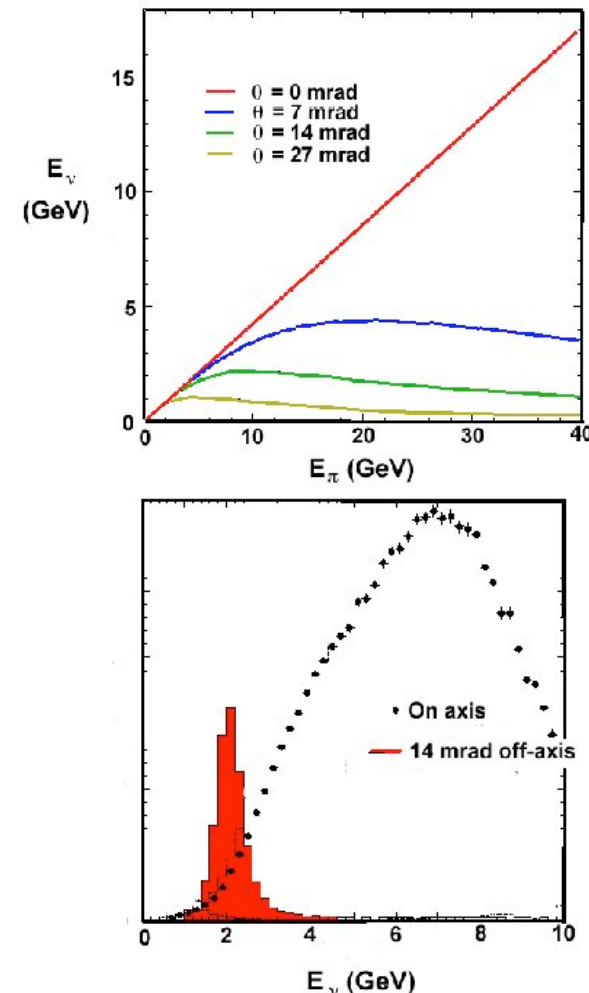
$$E_\nu = \frac{0.43 E_\pi}{(1 + \theta^2 \gamma_\pi^2)}$$

Off-Axis the beams are Narrow!  
approx. gaussian with spread  
 $20\% < E_\nu >$

**GREAT !!!**

as the primary bckgrd to  $\nu_e$   
detection is  $\pi^0$  coming from  
higher energy NC events. ( $\nu_e$   
contamination in beam is small  
0.5% and apprx known.)

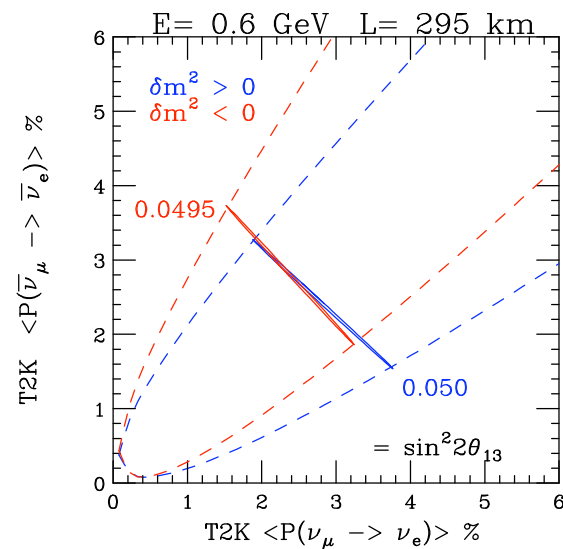
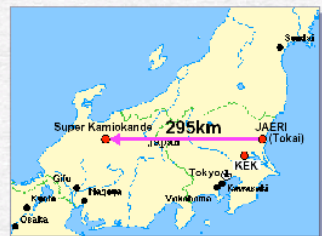
BNL-proposal '94



T2K

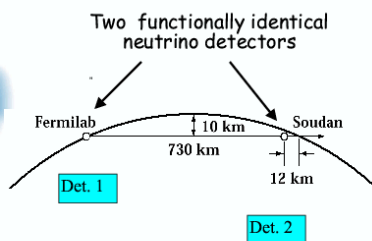
## JHF → Super-Kamiokande

- 295 km baseline
- Super-Kamiokande:
  - 22.5 kton fiducial
  - Excellent  $e/\mu$  ID
  - Additional  $\pi^0/e$  ID
- Hyper-Kamiokande
  - 20× fiducial mass of SuperK
- Matter effects small
- Study using fully simulated and reconstructed data

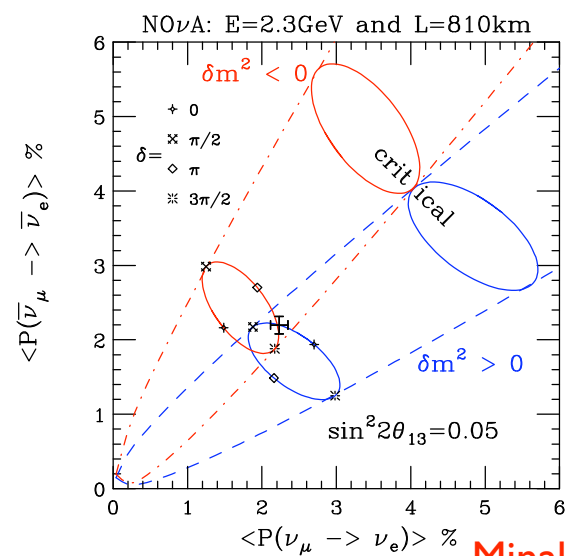


## The NUMI Beamline

NOVA



FLARE

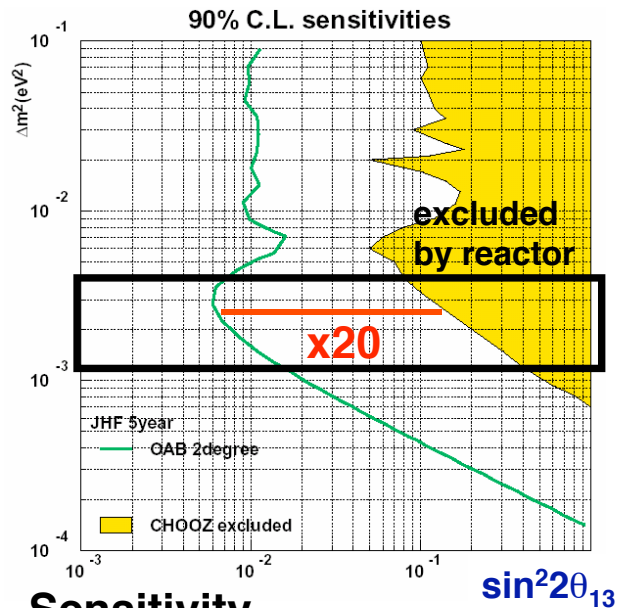


Minakata+Nunokawa  
hep-ph/0108085

# Sensitivity to $\theta_{13}$

T2K:

Search for  $\nu_e$  appearance

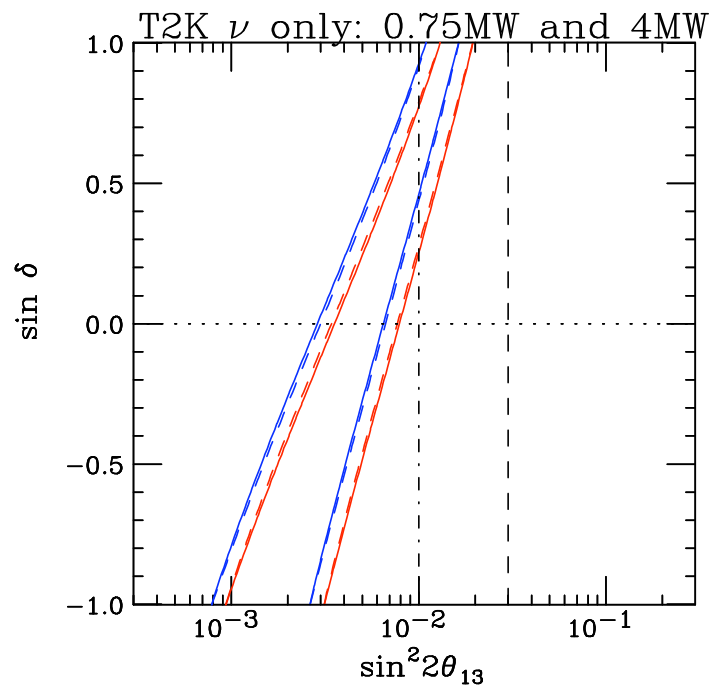


$\sin^2 2\theta_{13} > 0.006$  (90%)

5 yrs 0.75MW  
with SK

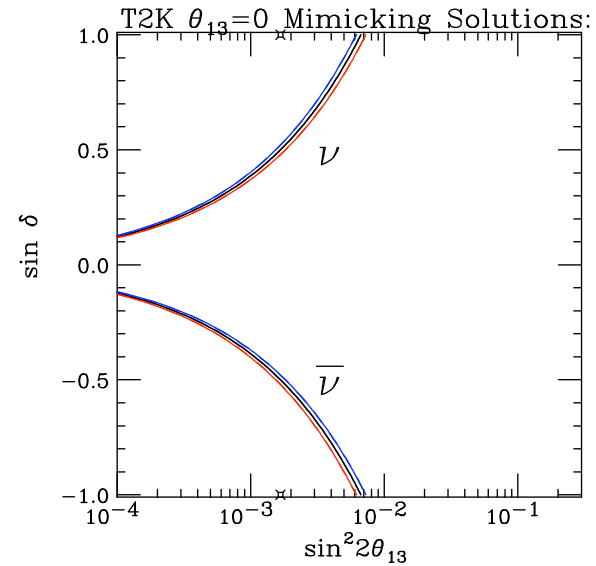
assumes  $\delta = 0$

**Question:** What exposure is required to reach this sensitivity if  $\delta = \pm \frac{\pi}{2}$  ?



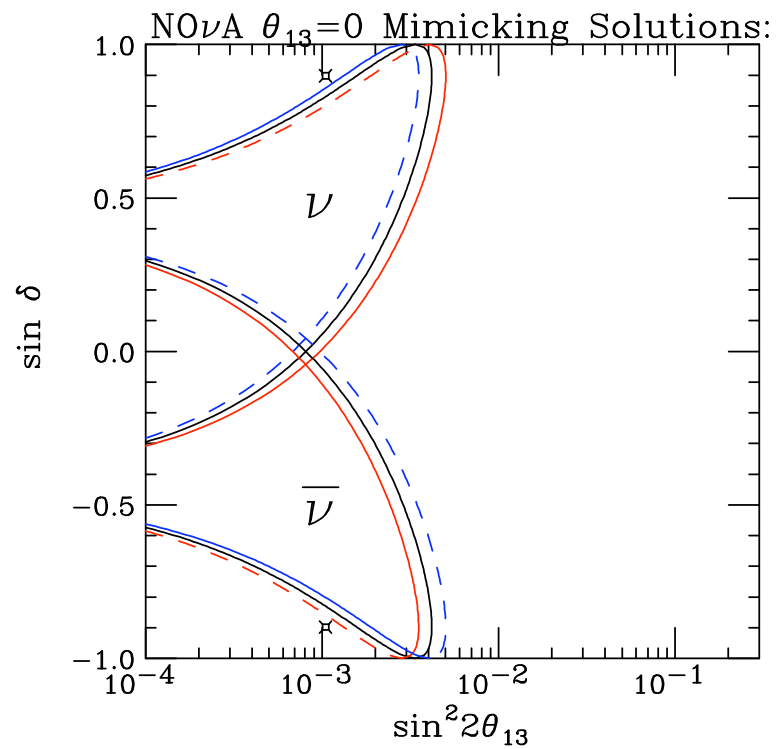
# Zero Mimicking Soln:

$$\sqrt{P_{atm}} = \pm 2\sqrt{P_{sol}} \sin \delta$$



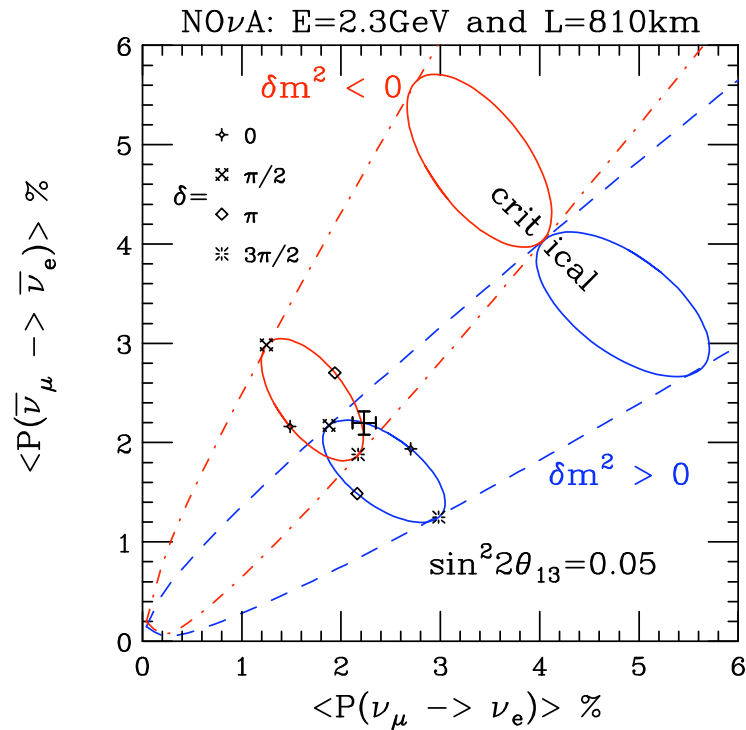
# NuMI: NO $\nu$ A, FLARE

## Zero Mimicking Soln:



$$\sqrt{P_{atm}} = -2\sqrt{P_{sol}} \cos(\Delta_{32} \pm \delta)$$

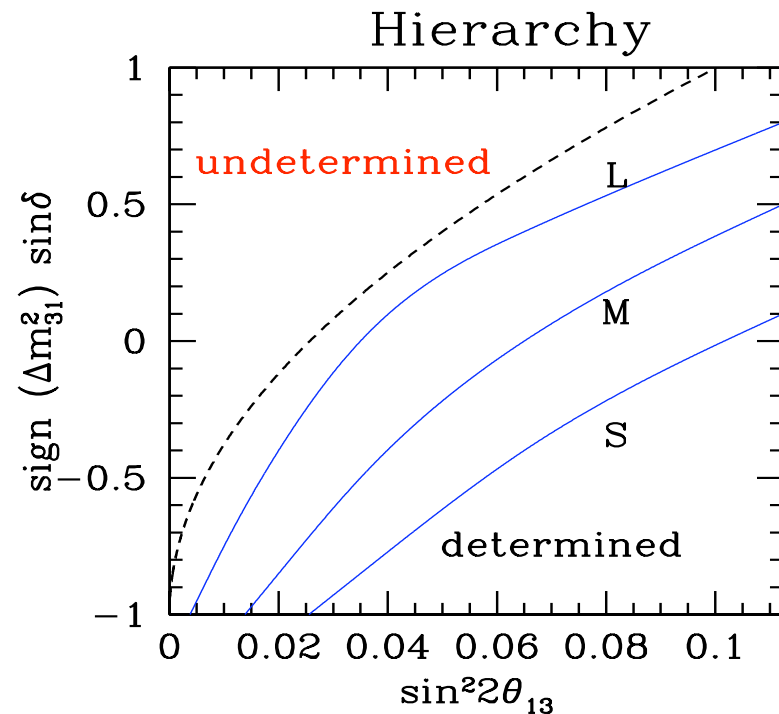
# NO $\nu$ A, FLARE:



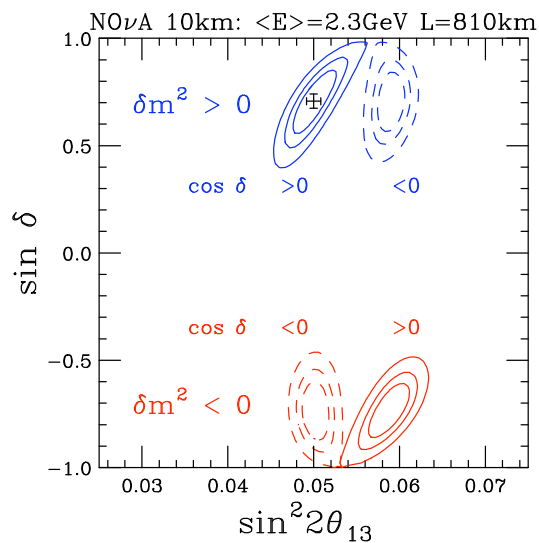
“S”: 3e22 proton Ktons  
 =(3.5+3.5) yrs @ 6.5e20 pot/yr  
 x {30 ktons x 24%} or {9 ktons x 80%}

M: 5 x “S”      L: 25 x “S”

Mass x POT x Eff



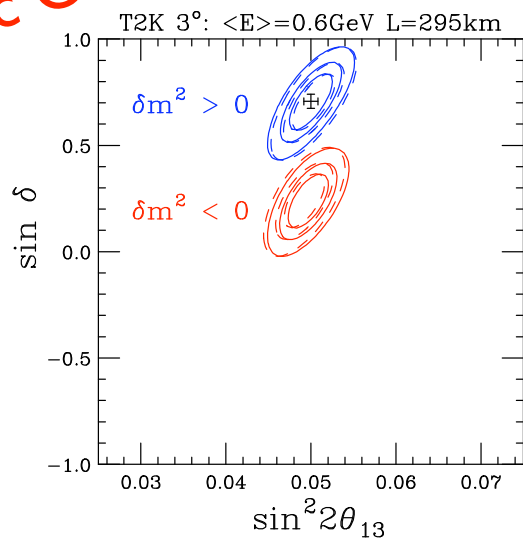
Mena+Parke  
 hep-ph/0505202



$$\langle \sin \delta \rangle_+ - \langle \sin \delta \rangle_- = 1.41 \sqrt{\frac{\sin^2 2\theta_{13}}{0.05}}$$

$$| \langle \sin \delta \rangle_{true}^{T2K} - \langle \sin \delta \rangle_{true}^{NO\nu A} | \approx 0.$$

Vac Osc Max



$$\langle \sin \delta \rangle_+ - \langle \sin \delta \rangle_- = 0.47 \sqrt{\frac{\sin^2 2\theta_{13}}{0.05}}$$

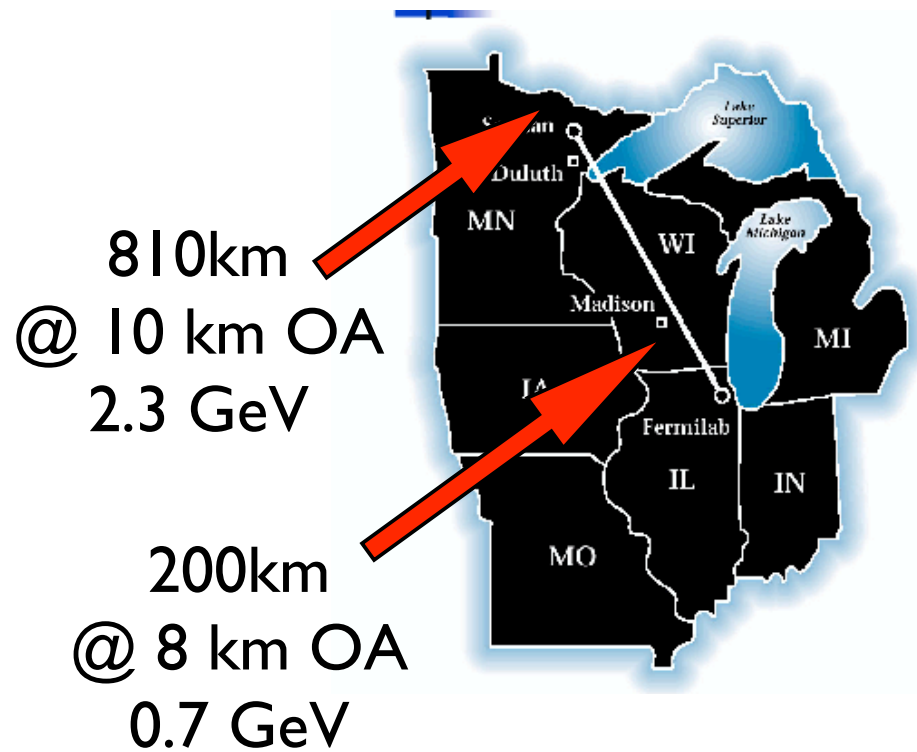
$$| \langle \sin \delta \rangle_{fake}^{T2K} - \langle \sin \delta \rangle_{fake}^{NO\nu A} | = 0.94 \sqrt{\frac{\sin^2 2\theta_{13}}{0.05}}.$$

factor of 3!!!

Mena + SP  
hep-ph/0408070

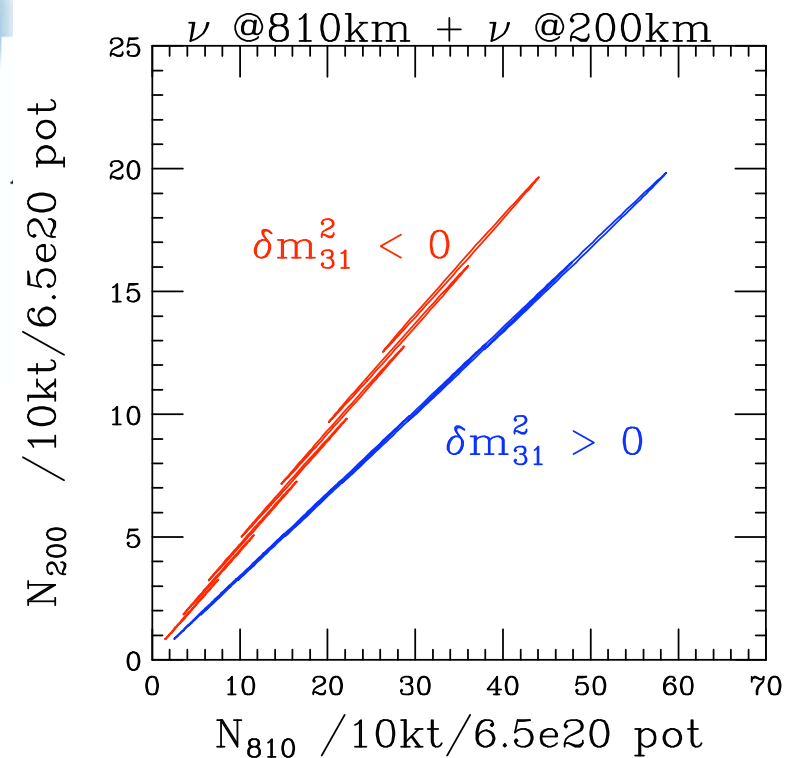
# NOvA plus “NEAR” DETECTOR

Mena, Palomares, Pascoli  
hep-ph/0504015



approx same  
E/L

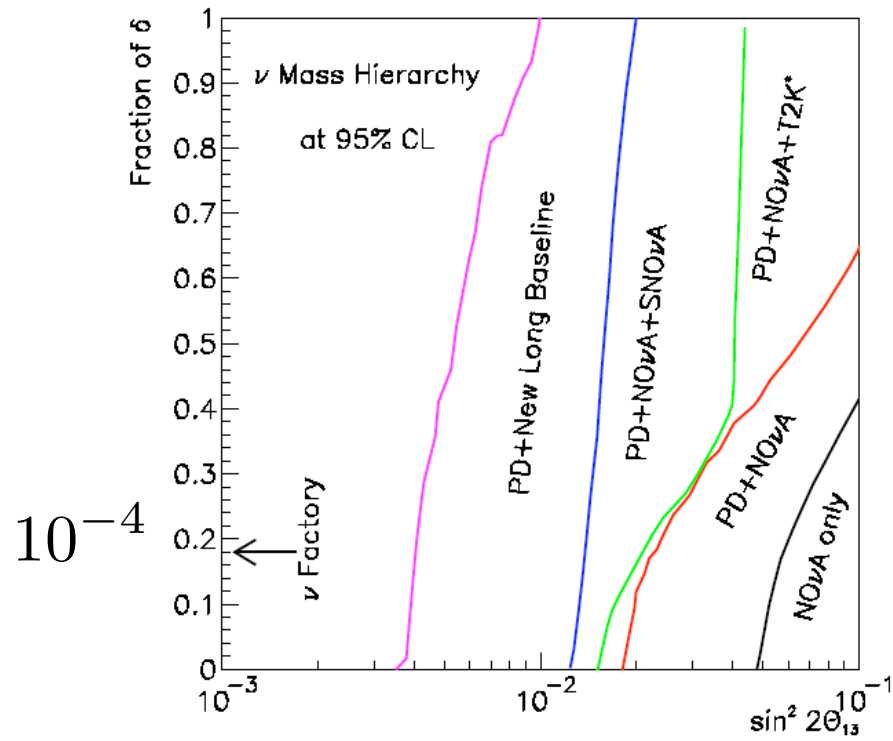
## Neutrino - Neutrino



$$\sin^2 2\theta_{13} = (1, 2, 3, 4.3, 6, 7.4, 9.5) \cdot 10^{-2}$$

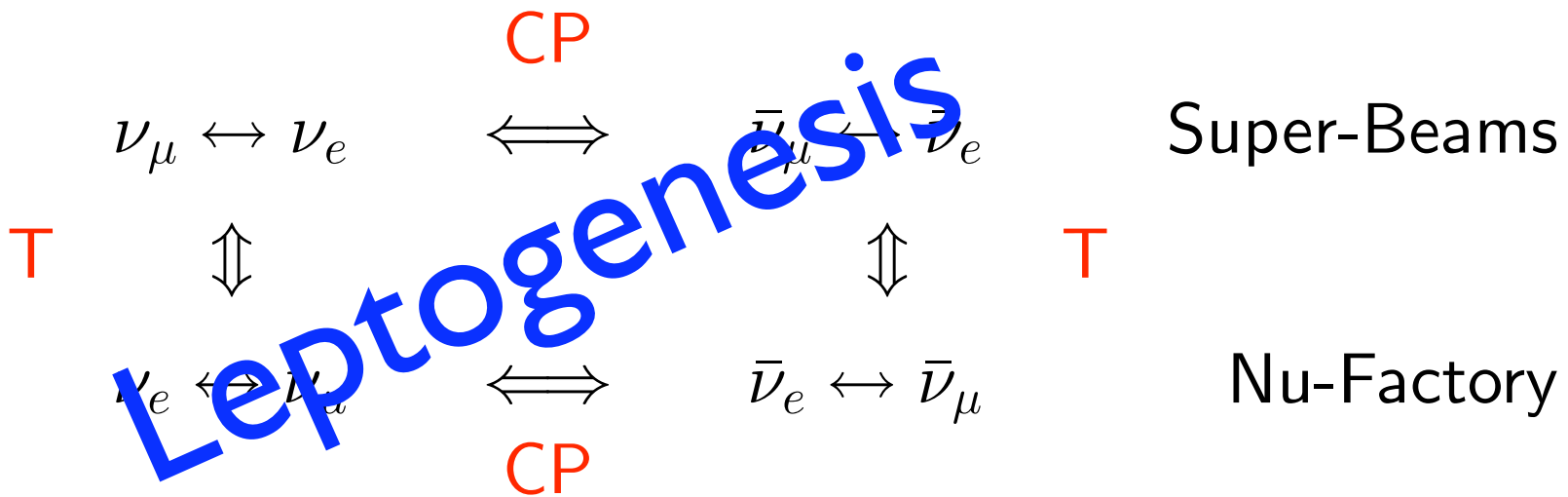
# Mass Hierarchy

from Off Axis



Fermilab Proton Driver Report

# Leptonic CP and T Violation in Neutrino Oscillations



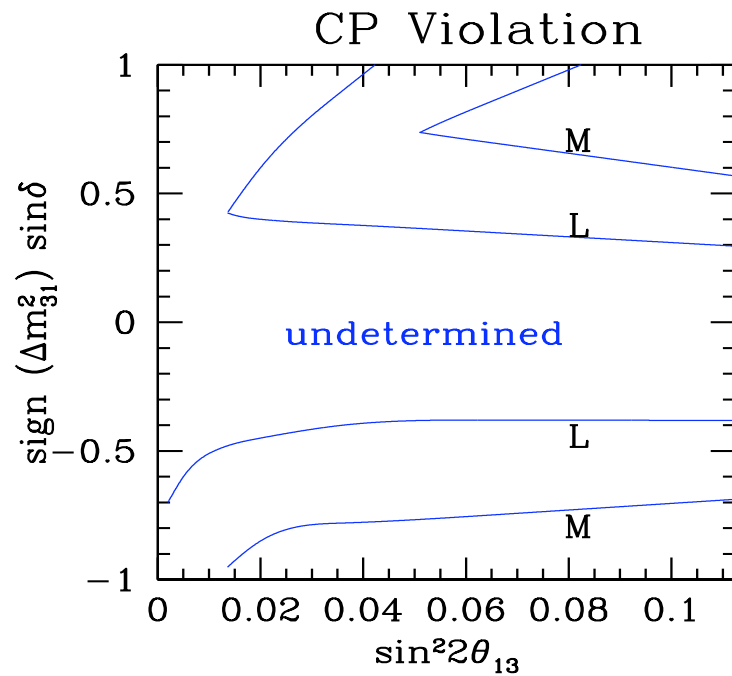
## CP Violation and Leptogenesis

- For most Neutrino Mass Models there is a relationship between the Dirac CP phase  $\delta$  and Majorana CP phases  $\alpha_2, \alpha_3$ .
- At a minimum they are all zero or all non-zero.
- $\alpha_2, \alpha_3$  are responsible for Leptogenesis in the early universe by allowing for different decay rates of Neutral Heavy Leptons:

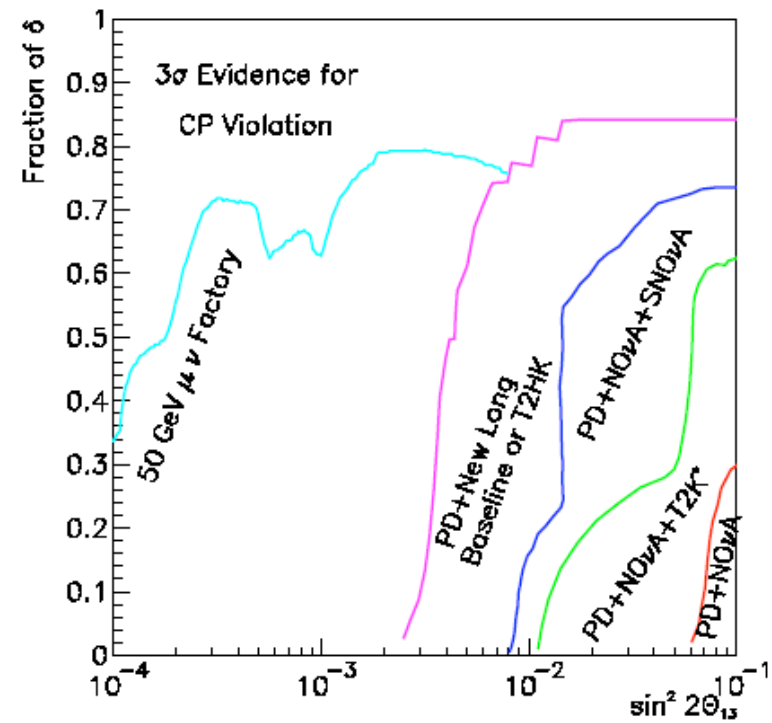
$$N \rightarrow l^+ \phi^- \text{ and } N \rightarrow l^- \phi^+$$

- $B = \frac{1}{2}(B - L) + \frac{1}{2}(B + L)$ , however  $(B + L)$  violated.
- Hence the Dirac CP violating phase,  $\delta$ , is a handle on Leptogenesis and hence Baryogenesis.

# CP Violation: NOvA, FLARE



## CP Violation



# Solving Degeneracies:

## Reactor + Off Axis:

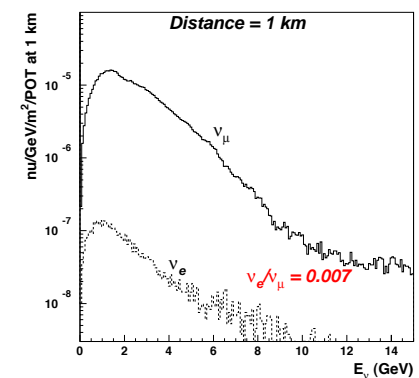
Variable Measured	LBL $\nu_\mu \rightarrow \nu_\mu$	LBL $\nu_\mu \rightarrow \nu_e$ $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	Reactor $\bar{\nu}_e \rightarrow \bar{\nu}_e$	Comments
$ \Delta m_{32}^2 $	Y	n	n	magnitude but not sign
$\sin^2 2\theta_{23}$	Y	n	n	$\theta_{23} \leftrightarrow \frac{\pi}{2} - \theta_{23}$ ambiguous
$\sin^2 \theta_{13}$	n	n	Y	direct measurement
$\sin^2 \theta_{23} \sin^2 \theta_{13}$	n	Y	n	combination of $\theta_{23}$ and $\theta_{13}$
$\text{sign}(\Delta m_{32}^2)$	n	Y	n	via matter effects
$\cos \theta_{23} \sin \delta_{CP}$	n	Y	n	CP violation
$\cos \theta_{23} \cos \delta_{CP}$	n	?	n	extremely difficult

## On Axis Beams:



- 28 GeV protons. 1 MW beam power. Horn focussed
- 500 kT water Cherenkov detector.
- baseline > 2500 km. WIPP, Henderson, Homestake

BNL Wide Band. Proton Energy = 28 GeV



# Why Broadband Beam?

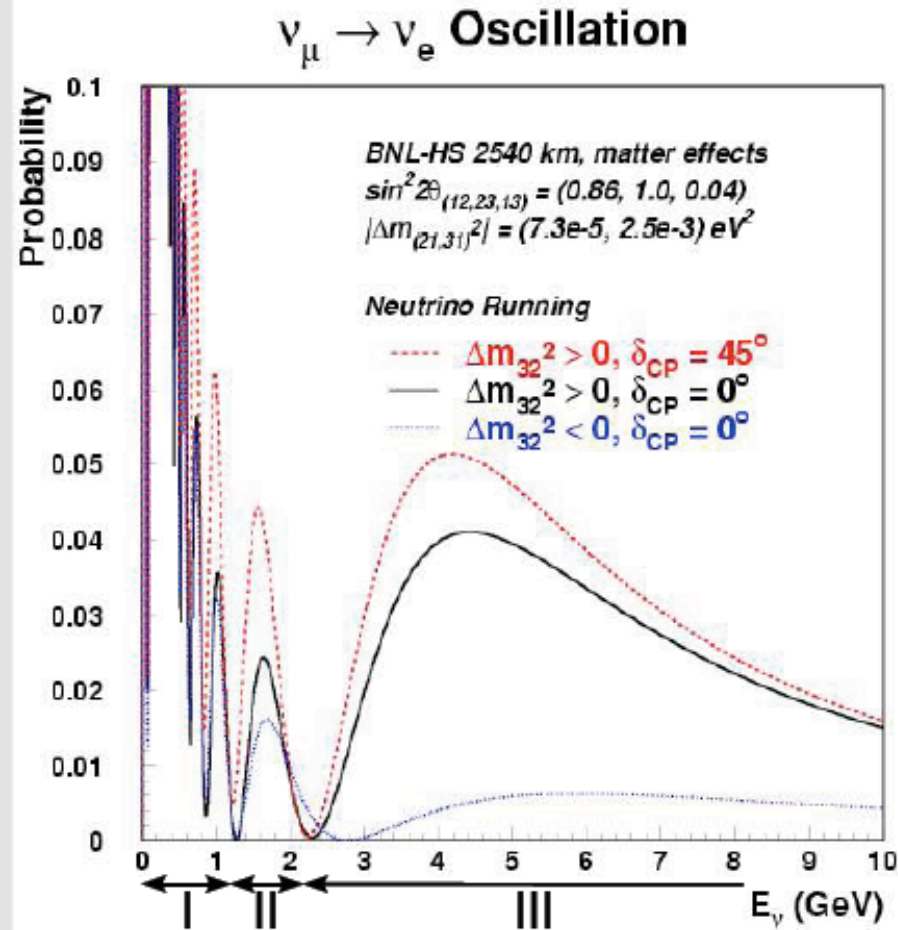
observe multiple nodes  
extraction of oscillating  
signal from background.

larger energies

larger cross sections  
less running time for  
anti-neutrinos

Sensitive to different  
parameters in different  
energy regions:

	I	II	III
$\sin^2 2\theta_{13}$	+	+	+
$\text{sign}(\Delta m_{32}^2)$	0	0	++
$\delta_{\text{CP}}$	+	++	+
solar	++	+	+



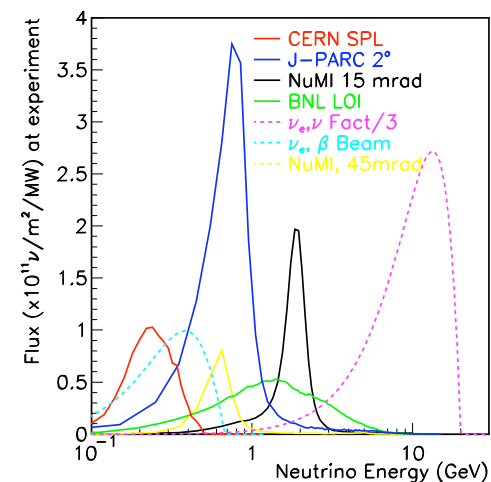
- Many Off Axis experiments in one!

## Why is $\nu_e \rightarrow \nu_\mu$ at a $\nu$ Factory Easy?

- Neutrinos/MW proton power *cf* conventional beams  $\propto (E_\mu/15)^3$
- No Intrinsic  $\nu_\mu$  in the beam, only  $\bar{\nu}_\mu$ 's
- Charge of Muon easier to measure than  $e/\pi^0$  separation
- Detector Technology straightforward (see MINOS)
- Backgrounds at  $\leq 10^{-4}$  level, not few  $\times 10^{-3}$

- Higher E means larger cross section, more events.
- Higher E allows larger L for same E/L, bigger matter effects (amplifies  $P_{atm}$ ).

Comparison of Fluxes  
per MegaWatt  
at each experiment:



Note  $\nu$  Factory flux  
divided by 3  
to fit on graph!

Conclusions:

the  $\theta_{13}$  window

is a

WONDERFUL OPPORTUNITY:

- $\sin^2 \theta_{13} \neq 0$  Reactor and LBL needed.
- For Mass Hierarchy we need more than one Off-Axis Exp. T2K + "NuMI exp."  
OR an On-Axis Exp.
- CP violation: Need many events: this implies Big detectors, Powerful Source, High Eff.  
(also \$\$\$)

new technology needed

# Star Trek: The Next Generation



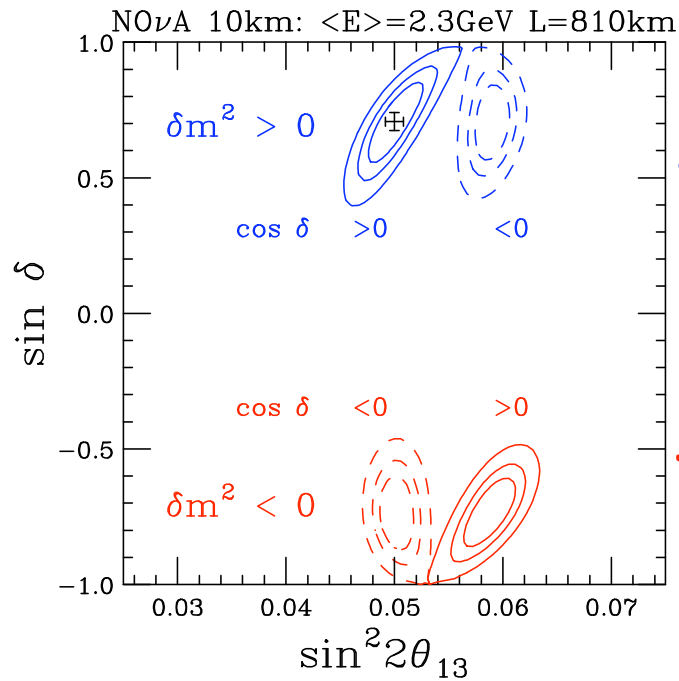
**Geordi La Forge:  
in “The Enemy”**



**The visor “sees”  
Neutrinos!!!**

**... but this requires special  
New Physics !!!**

# Parameter Degeneracies:



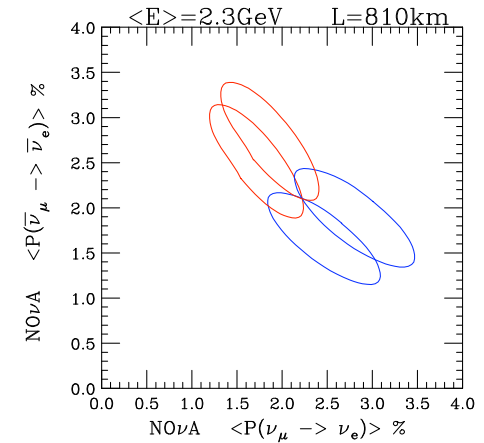
$$\langle \sin \delta \rangle_+ - \langle \sin \delta \rangle_-$$



$$= \left(\frac{4}{3\pi}\right)^2 \left(\frac{\tan \theta_{23}}{\sin 2\theta_{12}}\right) \left(\frac{\delta m_{31}^2}{\delta m_{21}^2}\right) (a_E L) \sqrt{\frac{\sin^2 2\theta_{13}}{0.05}}$$

$$(a_E L)_{NO\nu A} = 3 (a_E L)_{T2K}$$

4 ellipses:



Burget-Castell et al  
hep-ph/0103258



$$\sim \Delta_{31} \cot \Delta_{31}$$

$$0 \text{ when } \Delta_{31} = \pi/2$$

$$a \approx \frac{1}{4000 \text{ km}}$$

Mena + SP  
hep-ph/0408070

# T2K:

T2K will operate at Vacuum Oscillation Maximum

$$P(\mu \rightarrow e) = P_{atm} - 2\sqrt{P_{atm}P_{sol}} \sin \delta + P_{sol}$$

$$\text{at } \sin^2 2\theta_{13} = 0.006 \quad P_{atm} = 4P_{sol}$$

$$(\quad P_{sol} = 0.1\%)$$

Therefore

$$P(\delta = 0) = 5P_{sol}$$

$$P(\delta = -\frac{\pi}{2}) = 9P_{sol} \quad \text{half exposure required.}$$

$$P(\delta = \frac{\pi}{2}) = P_{sol} \quad \text{NO contribution from } \theta_{13} \text{ !!!!}$$

Also

$$P(\delta = -\frac{\pi}{2}) = 5P_{sol} \quad \text{when } \sin^2 2\theta_{13} = 0.003$$

$$P(\delta = \frac{\pi}{2}) = 5P_{sol} \quad \text{when } \sin^2 2\theta_{13} = 0.02$$

$$\sqrt{P_{atm}} = \pm 2\sqrt{P_{sol}} \sin \delta$$

